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## Adding more intelligence to the network routing problem: AntNet and Ga-agents

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#### 10 Abstract

AntNet and GA-agent algorithms are benchmarked against a series of dynamic network routing problems. Performance is characterized using multiple performance metrics on the Japanese backbone (NTTNET). NTTNET is used on account of the elongated topology presenting a more challenging routing problem than in the case of the American backbone, which is basically square. The AntNet scheme is found to provide the best routing ability providing global information is available and network security is not a factor. The GA-agent algorithm is shown to provide routing performance between the AntNet algorithm with global information and that without, whilst avoiding global information requirements and satisfying typical models of network security.

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#### 22 1. Introduction

Network information systems and telecommunication in general rely on a combination of routing strategies and protocols to ensure that information sent by a user is actually received at the desired remote location. In addition, the distributed nature of the problem means that multiple users can make requests simultaneously. This results in delayed response

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times, lost information or other reductions to the 30 quality of service objectives on which users judge 31 network operation. Routing is the process used to 32 determine how a packet travels from source to 33 destination. Protocols are used to implement hand-34 shaking activities such as error checking and receiver 35 acknowledgements. In this work, we are interested in 36 the routing problem on computer networks. The 37 routing problem has several properties, which make it 38 particularly challenging. The problem is distributed in 39 nature; hence, a solution that assumes access to any 40 form of global information is not desirable. The 41 problem is also dynamic; hence a solution that is 42 sufficient for presently experienced network condi-43 tions may well be inefficient under other loads 44

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experienced by the network. Moreover, the traffic
experienced by networks is subject to widely varying
load conditions, making 'typical' network conditions
unrepresentative.

Traditionally, routing strategies are implemented 49 50 through the information contained in routing tables available at each node in the network [1]. That is, the 51 table consists of specific entries for the neighboring 52 nodes and then a series of default paths for packets 53 with any other destination, for example, OSPF or 54 55 BGP4 [2]. Application of a classical optimization technique to such a problem might take the form of 56 first assessing the overall pattern of network traffic, 57 58 and then defining the contents of each routing table such that the measured congestion is minimized. This 59 approach does not generally work in practice as it 60 simply costs too much to collect the information 61 centrally on a regular basis, where regular updating is 62 necessary in order to satisfy the dynamic nature of 63 network utilization. We, therefore, see the generic 64 objectives of a routing strategy to be both real-time 65 reconfigurable and be based on locally available 66 information, whilst also satisfying the user quality of 67 service objectives (i.e. a global objective). 68

69 Several approaches have been proposed for addressing these objectives including: active network-70 ing [3], social insect metaphors [4,5] cognitive packet 71 networks [6], and what might be loosely called other 72 'adaptive' techniques (e.g. evolutionary computation 73 [7,8], neural networks [9]). The latter typically involve 74 using evolutionary or neural techniques to produce a 75 76 'routing controller' as opposed to a 'routing table' at each node, where the controller may require knowl-77 edge of the global connectivity to ensure a valid route. 78 The global information assumption may be avoided by 79 80 framing the problem in a reinforcement-learning context [9]. However, the Q-learning method, on 81 which this is based, results in single path solutions for 82 each destination. Both the social insect metaphor and 83 the cognitive packet approach provide a methodology 84 for routing, without such constraints; by utilizing 85 probabilistic routing tables and letting the packets 86 themselves investigate and report network topology 87 and performance. 88

All methods as currently implemented, however,
 suffer from one drawback or another. Cognitive packet
 networks and active networking algorithms attempt to
 provide routing programs at the packet level, hence

achieving scalable run time efficiency becomes an 93 issue. Implementations of 'adaptive' techniques or 94 social insect metaphors frequently rely on the avail-95 ability of global information [10]. Finally, the very 96 nature of the packet routing problem implies that 97 performance should be measured from multiple 98 perspectives simultaneously, where most results cur-99 rently available characterize performance using one or 100 two parameters alone. 101

The purpose of this work is, firstly, to investigate the 102 application of a social insect metaphor to solve the 103 dynamic routing problem. This is shown to rely on the 104 availability of a priori global information. Secondly, a 105 distributed genetic algorithm (GA) is introduced. This 106 represents a major departure from previous works 107 attempting to utilize GAs to solve the dynamic routing 108 problem, e.g. [7,8]. In particular, a methodology is 109 detailed for solving the representation problem without 110 recourse to global information. The system is bench-111 marked under dynamic and static network conditions 112 from the perspective of multiple performance metrics. 113

In the following, Section 2 introduces the 'ant' 114 based social insect metaphor scheme for packet 115 routing against which this work is compared. Section 116 3 introduces the proposed alternative scheme based on 117 a distributed genetic algorithm. Results are presented 118 in Section 4 and conclusions are drawn in Section 5. 119

#### 2. AntNet social insect metaphore

As indicated above, active networking [3] and 121 cognitive packet [6] based approaches emphasize a per 122 packet mechanism for routing. The aforementioned 123 'adaptive' techniques [7-9] tend to emphasize adding 124 'intelligence' to the routers leaving the packets 125 unchanged. A social insect metaphor provides a middle 126 ground in which the concepts of a routing table and data 127 packet still exist, but in addition, intelligent packets-128 ants-are introduced that interact to keep the contents of 129 the routing tables up to date. To do so, the operation of 130 ant packets is modeled on observations regarding the 131 manner in which worker ants use chemical trails as a 132 method of indirect stigmergic communication. Speci-133 fically, ants are only capable of simple stochastic 134 decisions influenced by the availability of previously 135 laid stigmergic trails. The chemical denoting a 136 stigmergic trail is subject to decay over time, and 137

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reinforcement proportional to the number of ants taking 138 the same path. Trail building is naturally a bi-directional 139 process, ants need to reach the food (destination) and 140 make a successful return path, in order to significantly 141 142 reinforce a stigmergic trail (forward only routing has 143 also been demonstrated [5]). Moreover, the faster the route, then the earlier the trail is reinforced. An ant on 144 encountering multiple stigmergic trails will probabil-145 istically choose the route with greatest stigmergic 146 reinforcement. Naturally, this will correspond to the 147 148 'fastest' route to the food (destination). The probabilistic nature of the decision, however, means that ants are 149 still able to investigate routes with lower stigmergic 150 reinforcement. 151

This approach has proved to be a flexible framework 152 for solving a range of problems including the traveling 153 sales man problem [11] and the quadratic assignment 154 problem [12]. The work reported here follows the 155 'AntNet' algorithm of Di Caro and Dorigo, where this 156 was previously demonstrated to perform better than 157 158 typical approaches to the routing problem including OSPF (as currently employed on the Internet) [4]. 159

#### 160 2.1. AntNet algorithm

It is assumed that routing tables,  $T_k$ , exist at each 161 node, k, in which a routing decision is made. Tables 162 consist of 'n' rows, one row for each neighboring node/ 163 164 link. As far as a normal data packet is concerned, a route is selected based on the neighbor node probabilities. 165

166 • New forward ants,  $F_{sd}$ , are created periodically, but 167 independently of the other nodes, from source, s, to 168 169 destination node, d, in proportion to the destination frequency of passing data packets. Forward ants 170 travel the network using the same priority structures 171 as data packets, hence are subject to the same delay 172 profiles; 173

• Next link in the forward ant route is selected 174 175 stochastically, p(i), in proportion to the routing table probabilities and length of the corresponding 176 output queue. 177 178

$$p'(j) = \frac{p(j) + \alpha l_j}{1 + \alpha(|N_k| - 1)}$$

• where p(j) is the probability of selecting node j as the next hop;  $\alpha$  weights the significance given to 180 local queue length verses global routing informa-182

tion, p(j); *lj* is proportional to the inverse of queue length at destination j' normalized to the unit interval; and  $N_k$  is the number of links from node k;

- On visiting a node different from the destination, a 190 forward ant checks for a buffer with the same 192 identifier as itself. If such a buffer exists, the ant 193 must be entering a cycle and dies. If this is not the 194 case, then the ant saves the previously visited node identifier and time stamp at which the ant was serviced by the current node in a buffer with the 197 forward ant's identifier. In this work, the total 198 number of buffers at a node is managed by attaching 199 an "age" to buffer space and allowing backward 200 ants to free the corresponding buffer space. By 201 introducing buffers at routers, it is no longer 202 necessary to carry all node and duration information 203 in the packet to the target duration as in the original 204 model [4]. Only the previous node information is, 205 therefore, carried by each ant; 206
- When the current node is the destination, k = d, then the forward ant is converted into a backward ant,  $B_{ds}$ . The information recorded at the forward ant buffer is then used to retrace the route followed by the forward ant;
- At each node visited by the backward ant, routing table probabilities are updated using the following rule.

IF (node was in the path of the ant) THEN  $p(i) = p(i) + r\{1 - p(i)\}$ ELSE p(i) = p(i) - rP(i)

where  $r \in (0, 1]$  is the reinforcement factor central to weight the relative significance of path quality (length), congestion and underlying network dynamics.

As indicated above, the reinforcement factor should be a factor of the trip time and the local statistical model of the node neighborhood. To this end [4] recommend the following relationship,

$$r = c_1 \left(\frac{W_{\text{best}}}{t_{\text{ant}}}\right) + c_2 \left\{\frac{I_{\text{sup}} - I_{\text{inf}}}{(I_{\text{sup}} - I_{\text{inf}}) + (t_{\text{ant}} - I_{\text{inf}})}\right\}$$

where  $W_{\text{best}}$  is the best case trip time to destination d 235 over a suitable temporal horizon,  $W; t_{ant}$  is the actual 236 trip time taken by the ant;  $I_{inf} = W_{best}; I_{sup} = \mu_{kd} + \{\sigma_{kd}/[W(1-\gamma)]^{0.5}\}.$ 237 238

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The estimates for mean,  $\mu_{kd}$ , and variant,  $\sigma_{kd}$ , of the trip time are also made iteratively, using the trip time information,  $o_{kd}$ . Thus,

$$\mu_{kd} = \mu_{kd} + \eta(o_{kd} - \mu_{kd}) (\sigma_{kd})^2 = (\sigma_{kd})^2 + \eta\{(o_{kd} - \mu_d)^2 - (\sigma_{kd})^2\}$$

Thus, trip time information is updated incrementally based on the recorded trip duration between current node, k, and ultimate destination, d.

### 244 2.2. Global information assumption

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245 Although providing for a robust ant routing 246 algorithm under simulated conditions [4], an assump-247 tion is made, which inadvertently implies the use of 248 global information-knowledge of the number of 249 nodes in the network [10]. The definition of routing 250 tables assumes that every node has a unique location in 251 the routing table or a total of *l* (number of neighboring 252 nodes) by *n* (number of nodes in the entire network) 253 entries. Hereafter, this is referred to as the GlobalAnt 254 algorithm. In practice, this is never the case. To do so 255 would assume that it is first feasible, and secondly, 256 should the network configuration ever change, then all 257 nodes should be updated with the new configuration 258 information.

259 In order to avoid the use of global information, we 260 consider the case of routing tables limited to detailing 261 actions in terms of the neighboring nodes alone, or a 262 total of 2 by *l* entries. Hereafter referred to as the 263 LocalAnt algorithm. This is equivalent to the tables as 264 used by OSPF or BGP4 protocols currently in use [2]. 265 Such a limitation, therefore, places greater emphasis 266 on the learning capacity of the ant. In Section 4, the 267 AntNet algorithm is benchmarked under both local 268 (LocalAnt) and global (GlobalAnt) routing table 269 configurations.

### <sup>270</sup> **3.** Genetic algorithm model

Genetic algorithms (GA) are a class of generic
search algorithms that perform a parallel search over a
fixed "population" of candidate solutions. To do so,
Darwin's concept of survival of the fittest and
observations from genetics are used to guide the
general mode of operation. Specifically, a selection
operator provides the pressure to improve the contents

of the population, examples being generational or 278 tournament based selection. Search operators (cross-279 over and mutation) address the exploitation-explora-280 tion trade off associated with manipulating individual 281 members of the population. The algorithm as a whole 282 is iterative in nature with individuals being repeatedly 283 modified such that the overall fitness of the population 284 improves (Holland's Schema Theorem [13]). 285

There are three principle inter-related design 286 decisions that have a significant impact on the ability 287 of a GA to efficiently solve problems. Firstly, the 288 representation problem, which is how to efficiently 289 encode candidate solutions into the genotypic string 290 format of a GA. Secondly, the operator problem, or 291 how to define operators such that individuals are 292 always syntactically correct. The third problem is how 293 to succinctly express fitness such that the 'best' 294 individuals of the population solve all the properties of 295 the problem of interest. 296

In the case of this work, we desire a representation 297 that is independent of network connectivity-unlike, 298 for example, the approach of Munetomo [7]. The 299 operator problem naturally has two parts-selection 300 and search. The definition of suitable search operators 301 is rendered straightforward (standard crossover and 302 mutation operators are applicable) if we are able to 303 pose suitable solutions to the representation problem. 304 The case of a suitable selection operator for this work 305 is addressed by utilizing the concept of a static 306 subpopulation model with migration. That is to say, 307 each node of the network has an independent 308 population of candidate solutions and best case 309 solutions are allowed to periodically migrate between 310 neighboring nodes, as in an island model of evolution 311 [14]. Given these general observations, the following 312 subsections detail the specific methodology employed 313 and hereafter referred to as GA-agents. 314

#### 3.1. Basic GA-agents

Letting individuals from each population travel the 316 network address the objectives of the representation 317 problem. Thus, the genotypic content of any 318 individual expresses the number of nodes visited 319 and routing decision taken at each node. This is similar 320 to the concept of the forward ant in the AntNet 321 algorithm. Likewise, as each 'GA-agent' travels the 322 network, previous hop and elapsed time information is 323

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Process	ing GA-a	gents		
if backward agent				
then	IF arriv	es at source		
	THEN	IF timeout		
		THEN discard it;		
		ELSE put it into "back" list;		
		END IF		
	ELSE	IF next hop is down		
		THEN discard it;		
		ELSE forward it to the link;		
		END IF		
	END IF			
else	agent re	cords the trip time info;		
	identify	gene specifying next hop;		
	IF corre	sponding link is available and no 'loop'		
		caused		
	THEN	send the agent to the link;		
	ELSE	randomly select an available link		
		that causes no loop;		
	END IF			
	IF no su	ch link found		
	THEN	convert agent into a backward agent;		
	ELSE	set the offset to the new value;		
		send agent to the link;		

END IF END IF

Fig. 1. Processing GA-agents.

recorded (Fig. 1). On reaching the node identified by 324 the last gene, the individual becomes a backward ant 325 and merely retraces its path and waits at the 326 corresponding source node for fitness evaluation 327 (routing table updates are only performed at the 328 source node) (Fig. 1). In the special case of a GA-agent 329 attempting to return down the same link with which 330 the node was entered, the router randomly selects the 331 332 next hop from the available links, and changes the 333 gene to the new value (deterministic mutation). If no next hop is available, then the chromosome is 334 truncated, and the GA-agent becomes a backward 335 agent (Fig. 1). A genotype, therefore, takes the form of 336 a list of integers—representing next hop offsets, e.g. 337  $\{1, 5, 0, 4, 2, 3, 5\}$ —over the interval [0, L], where 'Z' 338 is selected to enable indexing of node connectivity.<sup>1</sup> 339 On entering a node, a gene (offset) is used to identify 340 the next link using a clockwise count from the link that 341 the GA-agent entered the node, i.e. the next link is 342

Updating routing table & population (once 4 agents return to the same source) update the performance table by aging mechanism: FOR each agent in routing table DO fitness = original fitness  $\times c_2$ ; FOR each node in the entry DO trip time = original trip time /  $c_2$ ; END FOR END FOR use the fitness function to evaluate backward agents; select the best two agents as parents; update the fitness of the parent agents in the routing table; delete the entries of the worst two agents in the routing table; use standard crossover and mutation on the parents to generate two children; put the children into the population; delete the worst two agents from the population; IF current time > last clear time +  $c_3$ THEN clear flow statistics; END IF randomly launch 4 agents from the population to explore the network;

Fig. 2. Routing and population update.

selected modulo (gene % # of links). Such a representation is then independent of the specific network connectivity and directly supports single point crossover, resulting in variable length individuals. Mutation randomly selects a gene and adds/ subtracts an integer such that the new gene is still in the interval [0, *L*].

Selection takes the form of a steady-state tourna-350 ment of size 4. Thus, when four GA-agents return to 351 the same source node, they are ranked in accordance 352 with their fitness, the worst two GA-agents being 353 replaced by the children of the best (Fig. 2). The fitness 354 function itself incorporates the popularity of nodes 355 visited as well as the time taken to reach nodes 356 encountered by GA-agents. Both of these properties 357 are measured with respect to the original source node. 358

Popularity of destination '*i*' at node '*k*' ( $NP_k(i)$ ) is a 359 dynamic property, measured at the original source node 360 by recoding the frequency of different data packet 361 destinations as seen by the source node over a fixed time 362 window (the time window is set 50 s in this work), 363

$$NP_k(i) = \frac{Dest(i)}{TD_k}$$

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<sup>&</sup>lt;sup>1</sup> In all the experiments of Section 5, 'Z,' is set to 6.

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where  $TD_k$  is the total number of data packets passing 369 367 through node 'k'; and Dest(i) is the number of data packets with destination 'i'. Fitness now takes the 368 369 form.

 $\frac{\sum \text{NP}_k(i) \times \text{trip}\_\text{time}_i}{\sum \text{trip}\_\text{time}_i}$ 

370 Thus, GA-agents that find shortest paths to frequently 373 used destinations are favored. 374

The routing table in the GA approach consists of a 375 list of returned agents, every entry corresponds to an 376 evaluated returned agent path. On routing a data 377 packet, the router checks the table for a path that had 378 experienced shortest trip time to the desired destina-379 tion (Table 1, column 3); if such an entry is not found, 380 the entry with the highest fitness (Table 1, column 2) 381 will be selected as the default next node for this data 382 packet (Fig. 3). The first two columns in the routing 383 table are used during ranking and replacement of 384 winning chromosomes (Fig. 2).

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#### 3.2. Aging and population initialization 386

As indicated in the introduction, the general packet 388 switched routing problem of interest here has dynamic 389 properties as a result of different load conditions or 390 network outages. This means that the routing strategy 391 must be able to continuously adapt to new conditions. 392 To provide such a property an incremental aging 393 penalty is applied to each GA-agent entry of the 394 routing table. Thus, fitness is decreased and trip times 395 increased at each update to the routing table entries 396 (Fig. 2).

In addition, each node of the network may naturally have a different degree of connectivity; hence pose a more (less) significant routing problem. Populations (at each node) are, therefore, initialized in proportion to the degree of connectivity of each node; where a

Table 1 Example GA-agent routing table

	0 0	
Agent ID	Fitness	Trip time (ms) and node ID
95	0.32	(3, J), (9, C) (21, W)
234	0.355	(1, B), (7, A),, (432, Y)
31	0.71	$(5, C), (9, K), \ldots, (871, X)$

Routing data packets

TT	. •		•	
1 H r	outing	table	15	empty

THEN randomly choose a link to forward;

ELSE search the routing table for the shortest trip time to the desired destination; IF no entry found explored the desired destination THEN choose a agent with best performance; END IF END IF IF no route is found THEN discard the packet;

END IF

Fig. 3. Routing data packets.

**Initialize Population** initialize first generation of agents;  $#agents = #links^2 \times c_1;$ clear routing table; clear flow pattern statistics; send out half population of individuals/chromosomes;

Fig. 4. GA-agent initialization.

square law was empirically found to provide sufficient 401 search capacity (Fig. 4). 402

#### 4. Evaluation

For the purposes of investigation and comparison, a 404 discrete event simulation (DES) is developed (C++, 405 UNIX system) for modeling the action of the GA-406 agent and AntNet algorithms on a network configured 407 to represent the Japanese backbone (NTTNET) 408 (Fig. 5). Such a configuration is of particular interest 409 due to the long thin topology in comparison to other 410networks (e.g. in the box like topology of the US 411



Fig. 5. Japanese NNTNET topology.

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Table 2 Parame	2 eter values		
AntNet	t	GA-agent	
α	0.3	P(crossover)	0.9

α	0.3	P(crossover)	0.9
$c_1$	0.7	P(mutation)	0.1
$c_2$	0.3	#Agents/link <sup>2</sup>	32
η	0.005	Aging rate	0.9
γ	0.654	Prop. ratio (%)	3
		Prop. freq. (ms)	500
		Flow clear freq. (s)	50

412 backbone nodes tend to provide a high degree of connectivity across the network as a whole). This 413 property of NTTNET makes it more difficult to 414 identify alternative routes or increases the number of 415 pathologically bad routes. The DES models each node 416 as an incoming buffer, a memory space for processing 417 418 packets, and an outgoing buffer for each neighboring link. Both AntNet and GA-agent algorithms are 419 simulated under the same environmental conditions. 420 That is, an event generator is used to generate the 421 422 events, such as new packet time of generation, or router availability. The following are the parameters 423 used in the simulation, 424

- 426 • Network topology takes the form of the Japanese backbone (Fig. 5); 427
- Forward ants are launched every 300 ms; 428
- Data packets are generated by Poisson distribution 429 (mean of 35 ms); 430

Table	3	
Static	parameters-scenario	1

Algorithm	GA-agent	GlobalAnt	LocalAnt
Finish time (s)	1252	1253	1267
Routing packets (%)	48	10	11
Arrived packets (%)	85.3	99.7	45.5
Dead packets (%)	14.7	0.3	54.5
AP avg. trip time (ms)	1171	566	398

- AntNet and GA-agent algorithms are given 5 s at 434 the beginning of the simulation to converge the initial routing tables. During this period, routing packets (ants or GA-agents) are the only packets traversing the network; 440
- Any packets that are routed down links representing a fault condition are distinguished as lost packets. In 442 addition, packets may also be killed. In this case any 443 packets, including data packets, are terminated should they encounter a previously visited node. Given the probabilistic nature of the routing tables this represents a rather harsh constraint, but is utilized to emphasize the properties of different 448 routing strategies. In the following results, lost and killed packets are collectively referred to as dead 450 packets. 451 452

Simulations are ran for the duration of 1250 s, as a result 1,985,536 data packets are generated. The queue length is the total number of waiting packets per se-



Fig. 6. Throughput (bytes) vs. time (s)-no network failure.

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455 cond, which includes the data packets and the routing
456 packets. In this paper, the routing packets refer to the
457 ants in the AntNet algorithm, and to the GA-agents in
458 the GA approach.

#### 460 4.1. Algorithm parameterization

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Parameter selection in the case of the AntNet 461 algorithm follows the recommendations of Di Caro 462 and Dorigo [4]. Two versions of the AntNet algorithm 463 are considered. LocalAnt represents the case of a 464 routing table without the capacity to represent global 465 information [10], whereas GlobalAnt represents the 466 original "full" routing table scenarios [4]. In the case 467 of GA-agents, there are five basic parameters, 468 summarized as follows 469

- 471 1. Rates of crossover and mutation;
- 472 2. #Agents/link<sup>2</sup>—a constant  $c_1$ , which determines 473 the population of chromosomes on every node;
- 474 3. Aging—a constant  $c_2 \in (0.0, 1.0)$ , rate by which 475 fitness of individuals currently populating the 476 routing tables decay;
- 477
  4. Propagate ratio—the number of chromosomes
  478 exchanged between populations, expressed as a
  479 %node population size;
- 480 5. Propagate freq—constant rate/frequency of
   481 exchange of chromosomes between populations;

- 6. Flow clear freq—a constant  $c_3$ , time interval over 488 which data packet destination statistics are 489 collected. 490
  - 491

Default values for GA-agent were established in492[15]. Table 2 summarizes parameter values employed493in the following experiments for both AntNet and GA-494agents.495

### 4.2. Network scenarios 496

A total of four simulation scenarios are considered 497 498 for the AntNet and GA approaches, all of which utilize the Japanese backbone network topology (Fig. 5). 499 500 Moreover, unlike the original study, we concentrate on network reconfiguration properties [4]. In the first 501 502 case, all routers remain available, scenario 1. The 503 remaining experiments investigate plasticity of the 504 agents by introducing fault conditions. First, router

Table 4	4	
Static	parameters-scenario	2

Algorithm	GA-agent	GlobalAnt	LocalAnt
Finish time (s)	1507	1668	1369
Routing packets (%)	58.9	10	11
Arrived packets (%)	70.6	92.3	41
Dead packets (%)	29.4	7.7	59
AP avg. trip time (ms)	356	998	2899

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Fig. 8. Throughput (bytes) vs. time (s)—node 34 lost at 500 s.

R34 is removed at a time step of 500 s, scenario 2,
where this effectively cuts the network in two, with
only one path linking the two halves. In scenario 3,
two routers (R49, R13) are removed, whereas in
scenario 4, the same two routers (R49, R13) are taken *down* asynchronously, but return later synchronously.

Scenario 4 is, therefore, of particular interest because511it requires three different reconfigurations—once in512the introduction of each fault and again when all the513faults are restored.514

In all cases the performance of routing algorithms is measured from multiple perspectives,

GlobalAnt GA-agent LocalAnt 900 1000 1100 1200 1300 1400 1500 1600 1700 



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- Network throughput, which is defined as the number of data packet bytes successfully received at their destination per two second window;
- Average queue length, where this is the average of
   the number of packets—data and routing—per two
   second interval over the network as a whole;
- Total time to deliver all the data packets that are not lost or killed (finish time);
- Number of arrived data packets (AP) as a percentage of the number of data packets generated;
  - Average trip time of arrived data packets, and;
- Number of routing packets created during the course of the simulation, again expressed as a percentage of the number of data packets generated.

In the case of throughput and queue length, we are
interested in capturing the temporal characteristics;
hence plots are used over the duration of the simulation. All other parameters are summarized by a single
numerical value.

<sup>544</sup> 4.2.1. Scenario 1—no network failure

Table 3 summarizes the static parameters over a network experiencing no failure conditions, whereas
Figs. 6 and 7 represent throughput and queue length, respectively.

lable 5		
Static parameters—scena	ario 3	
Algorithm	GA-agent	G

Algorithm	GA-agent	GlobalAnt	LocalAnt
Finish time (s)	1252	1466	1300
Routing packets (%)	51.6	10	11
Arrived packets (%)	71.4	94.3	41.7
Dead packets (%)	28.6	5.7	58.3
AP avg. trip time (ms)	861	1325	1617

The linearly increasing queue length property (and 549 low throughput) of the LocalAnt algorithm indicates 550 that a good routing strategy has not been identified 551 (Figs. 7 and 6). Moreover, from Table 3, it is evident 552 that more packets are lost than successfully reach their 553 destination. That is to say, without the global 554 information, the LocalAnt algorithm is unable to stop 555 packets from revisiting nodes more than once. In 556 effect, the data structure used to support (global) 557 positive feedback is no longer available. The GA-558 agent algorithm delivers twice as many packets, but 559 with a significant overhead in the number of routing 560 packets utilized. This property will be revisited in the 561 discussion. 562

After an initial configuration period (typically563100 s for the GA scheme) GA-agent and GlobalAnt564control queue length effectively (Fig. 6). GlobalAnt565



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Fig. 11. Queue length vs. time (s)-nodes 13 and 49 lost at 500 s.

"loses" the least packets (0.3% as opposed to 15% for
GA-agent) (Table 3) and maintains the highest levels
of throughput (Fig. 7). LocalAnt returns the shortest
average trip time for a delivered packet, but this is
most likely a reflection of the low number of packets
actually delivered (Table 3).

#### 572 4.2.2. Scenario 2—node 34 lost at 500 s

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In this scenario, node 34 is removed at time step 573 500, where node 34 represents a critical node for 574 575 connectivity (Fig. 5). Table 4 and Figs. 8 and 9 summarize the performance. The LocalAnt algo-576 rithm continues to loose more packets than it 577 delivers (implying that more packets attempt to 578 579 revisit nodes than find a direct path) and in addition returns the longest trip time for those packets that 580 are delivered (Table 4). On account of the reduction 581 in the number of packets delivered, the LocalAnt 582 queue length profile is now better than GlobalAnt 583 (Fig. 9) whereas throughput is still the worst 584 (Fig. 8). The linear increase in GlobalAnt queue 585 length is an indication of the significance of node 586 34, where the same property is observed by GA-587 agent. That is to say, in order to avoid loosing 588 packets down paths previously available in node 34, 589 it is necessary to queue packets waiting for the low 590 591 number of alternative routes.

#### 4.2.3. Scenario 3—node 13 and 49 lost at 500 s 592

In this case, we are interested in the case of multiple 593 network failures, with Table 5 and Figs. 10 and 11 594 summarizing performance. LocalAnt is still losing far 595 more packets than it is delivering (Table 5) which 596 naturally results in low throughput and queue length 597 profiles (Figs. 10 and 11). The GlobalAnt algorithm 598 still loses the least number of packets (Table 5). 599 Performance of GA-agent again appears to fall 600 between that of Local and Global versions of the 601 AntNet algorithm. Moreover, the GA-agent in this 602 case appears to have identified alternative routes that 603 have minimal impact on queue lengths (Fig. 11). 604

# 4.2.4. Scenario 4—asynchronous removal of two nodes

Here, the effect of different routers going down at 607 different times and recovering (at the same time) is 608

Table	6	
Static	parameters-scenario	4

Algorithm	GA-agent	GlobalAnt	LocalAnt
Finish time (s)	1252	1252	1289
Routing packets (%)	54.5	10	11
Arrived packets (%)	78.8	96.5	43.6
Dead packets	21.2	3.5	56.4
AP avg. trip time (ms)	1012	677	3259

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Fig. 13. Queue length vs. time (s)-asynchronous removal of two nodes.

investigated: Table 6 and Figs. 12 and 13. Both the 609 AntNet algorithms make use of queues (Fig. 13) 610 possibly implying the utilization of a small number of 611 preferred alternative routes. The GA-agent strategy 612 appears to minimize queue lengths at the expense of 613 higher dead packet counts with respect to GlobalAnt 614 615 (Table 6). Throughput profiles follow the same general pattern as previously encountered-GlobalAnt con-616 sistently has the highest throughput, with GA-agent 617 618 performance midway between Global and Local 619 AntNet (Fig. 12). It is also interesting to note that,

once all network connections are re-established, all 620 three algorithms successfully return to throughput 621 levels each identified before any faults were intro-622 duced. 623

#### 4.3. Discussion 624

By way of an overall ranking, it is clear that the 625 utilization of global information in the AntNet 626 algorithm plays a central role in its performance. 627 Without this-LocalAnt-more dead packets occur 628

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629 than packets delivered, irrespective of whether there are missing links or not. GA-agents clearly perform far 630 better than LocalAnt, typically delivering twice as 631 many packets, irrespective of the scenario. Moreover, 632 633 it was also apparent that GA-agents evolved different 634 strategies depending on the location of the population. Populations associated with nodes at the periphery of 635 the network tended to have relatively short chromo-636 somes (around five genes or less). Those associated 637 with nodes having higher degrees of connectively 638 639 tended to have much longer chromosomes (around 10 or more genes). Future work will investigate this 640 property further within the context of co-evolutionary 641 642 strategies.

The sizes of the routing tables are significantly 643 different. For a router with l neighbors in a network 644 645 with *n* routers, we make the following comments. A GlobalAnt router has *l* records, each has (n - 1) fields 646 for each node in the network. Thus, the size of the 647 routing table is l(n-1), i.e.  $\Theta(l \times n)$ , where usually 648 649  $l \ll n$ , so, the size of the routing table is  $\Theta(n)$ . Since the routing table is a two-dimensional array, the next 650 hop look up time is only  $\Theta(1)$ . A LocalAnt router has l 651 records (number of neighboring links), each has only 652 653 two fields, one for the neighbor, one for the rest of the network. Thus, the size of the routing table is  $l \times 2$ , i.e. 654  $\Theta(l)$ ; the next hop look up time is also only  $\Theta(1)$ . A 655 GA-agent based router has a population of  $c_1 \times l^2$ 656 chromosomes, thus the routing table has  $O(l^2)$  records, 657 and each represents an explored route. According to 658 the statistics of the experiments, routes have approxi-659 mately 2–12 genes; this fits a  $\Theta(l)$  relation. Thus the 660 size of a routing table is  $O(l^3)$ . Sequential search of the 661 routing table will take  $O(l^3)$  time. 662

Finally, the relationship between routing agents and 663 664 routing tables also differs significantly between the 665 two approaches. The AntNet algorithm currently updates all routing tables along the return path of an 666 ant. GA-agents in its current formulation only update 667 the table of the source node. This means that for each 668 routing packet (Ant or GA-agent) more routing tables 669 are updated per agent in the case of the AntNet 670 algorithm. Modifying the GA-agent scheme along the 671 672 lines of the AntNet update process would significantly reduce the number of routing packets necessary. 673 However, this approach also represents a serious 674 675 security issue from the perspective of network 676 management. In effect, any node is able to modify

the routing table of another node, so opening the door 677 to malicious modification of the network routing. 678

#### 5. Conclusion

Dynamic/adaptive routing has become a research 680 topic of significant interest over the last five years. The 681 growing size and increasing demands placed on packet 682 switched networks has pushed their application into 683 areas not considered at their conception. As a 684 consequence routing techniques currently in operation 685 are increasingly being shown to be ineffective [16]. 686 Extensive simulation of the AntNet algorithm against 687 routing algorithms currently in use-OSPF, SPF, BF, 688 Q-R, P-QR and Daemon-has demonstrated the 689 superiority of AntNet under dynamic load conditions 690 [4]. In this work, we show that such performance 691 comes at a cost. Global information is necessary and 692 network security may be compromised. Removing 693 access to global information is shown to compromise 694 the ability of the AntNet algorithm to find suitable 695 routes, even in the case of a static network 696 configuration (no faults). 697

In order to reduce the significance of these 698 drawbacks, we investigate the utilization of a genetic 699 algorithm based on a static multi-population model. 700 To do so, the GA representation problem is addressed, 701 such that agents do not require global information 702 regarding network topology. In addition, the network 703 security problem is reduced as agents may only 704 modify the routing table of their source. The penalty 705 paid for this is a reduction in routing capacity, with 706 performance falling between that of the AntNet 707 algorithm with and without global information. We 708 believe, however, that this establishes a baseline of 709 performance for a routing algorithm that does conform 710 to all the constraints of a network routing problem in 711 practice. Future work will concentrate on two general 712 topics. Firstly, the investigation of more advanced co-713 evolutionary techniques to promote the sharing of 714 information between routing agents whilst minimizing 715 the potential for compromises in network security. 716 Secondly, the organization of routing table informa-717 tion should be addressed such that identifying a 718 required route is much more efficient than is currently 719 the case. Other opportunities for improvement might 720 concentrate on the optimization of the GA search 721

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operators. Specifically, the indirect encoding scheme
has been improved by introducing biases into the
selection of crossover and mutation points [17].
Moreover, multi-population models based on the
island model abound [14], from which we might learn
of improved schemes for parameter adaptation and
migration.

Alternatively, the problem of information sharing 729 could be addressed by letting data packets carry 730 routing information with them (similar to the case of 731 adaptive routing [3]). Thus, it is no longer necessary 732 for each routing table to provide routes to all 733 destinations and data packets maximize the utilization 734 of routing information when it is provided (the current 735 implementation only uses the source to destination 736 path specified in a GA-agent routing table to select the 737 next hop). Finally, instances of the AntNet algorithm 738 should be investigated in which there are more than 739 two columns, but less than the number of nodes in the 740 entire network. In this case, the objective is to 741 742 dynamically identify what destinations each column should correspond to. 743

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